

A First Course in Digital Communications

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- Represent M signals by an orthonormal basis set, $\{\phi_n(t)\}_{n=1}^N$, $N \leq M$:

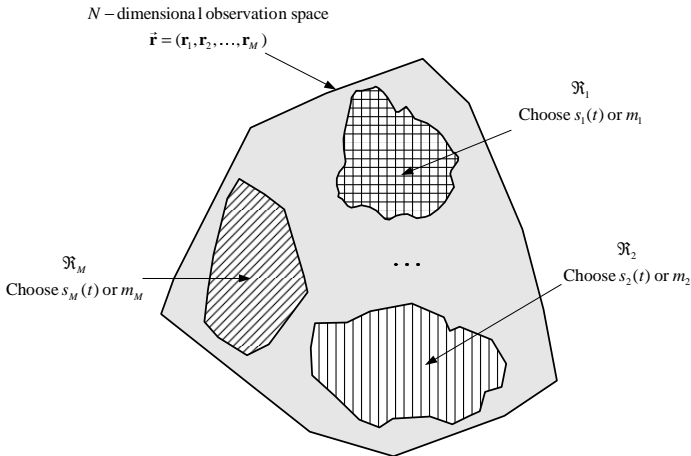
$$s_i(t) = s_{i1}\phi_1(t) + s_{i2}\phi_2(t) + \dots + s_{iN}\phi_N(t),$$

$$s_{ik} = \int_0^{T_s} s_i(t)\phi_k(t)dt.$$

- Expand the received signal $\mathbf{r}(t)$ into the series

$$\begin{aligned} \mathbf{r}(t) &= s_i(t) + \mathbf{w}(t) \\ &= \mathbf{r}_1\phi_1(t) + \mathbf{r}_2\phi_2(t) + \dots + \mathbf{r}_N\phi_N(t) + \mathbf{r}_{N+1}\phi_{N+1}(t) + \dots \end{aligned}$$

- For $k > N$, the coefficients \mathbf{r}_k can be discarded.
- Need to partition the N -dimensional space formed by $\vec{\mathbf{r}} = (\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N)$ into M regions so that the message error probability is minimized.



The optimum receiver is also the *minimum-distance receiver*:

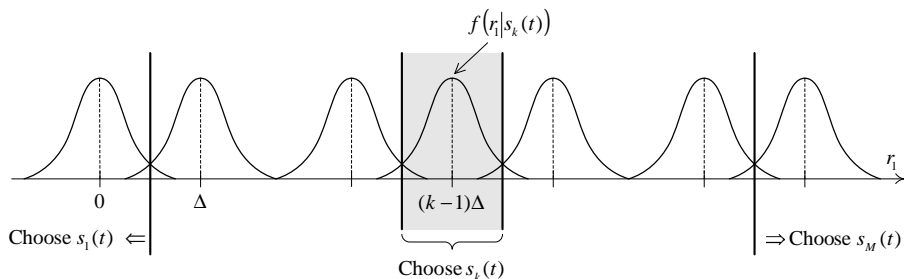
Choose m_i if

$$\sum_{k=1}^N (r_k - s_{ik})^2 < \sum_{k=1}^N (r_k - s_{jk})^2;$$

$j = 1, 2, \dots, M; j \neq i.$

Minimum-Distance Decision Rule for M -ASK

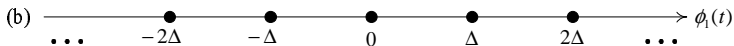
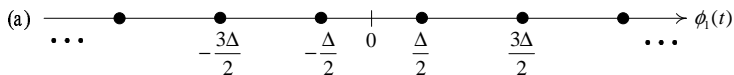
$$\text{Choose } \begin{cases} s_k(t), & \text{if } (k - \frac{3}{2}) \Delta < r_1 < (k - \frac{1}{2}) \Delta, \quad k = 2, 3, \dots, M - 1 \\ s_1(t), & \text{if } r_1 < \frac{\Delta}{2} \\ s_M(t), & \text{if } r_1 > (M - \frac{3}{2}) \Delta \end{cases}$$



Modified M -ASK Constellation

The maximum and average transmitted energies can be reduced, without any sacrifice in error probability, by changing the signal set to one which includes the negative version of each signal.

$$s_i(t) = \underbrace{(2i - 1 - M)}_{V_i} \frac{\Delta}{2} \sqrt{\frac{2}{T_s}} \cos(2\pi f_c t), \quad 0 \leq t \leq T_s, \quad i = 1, 2, \dots, M.$$



$$E_s = \frac{\sum_{i=1}^M E_i}{M} = \frac{\Delta^2}{4M} \sum_{i=1}^M (2i - 1 - M)^2 = \frac{(M^2 - 1)\Delta^2}{12}.$$

$$E_b = \frac{E_s}{\log_2 M} = \frac{(M^2 - 1)\Delta^2}{12 \log_2 M} \Rightarrow \Delta = \sqrt{\frac{(12 \log_2 M) E_b}{M^2 - 1}}$$

M-ary Phase-Shift Keying (*M*-PSK)

$$s_i(t) = V \cos \left[2\pi f_c t - \frac{(i-1)2\pi}{M} \right], \quad 0 \leq t \leq T_s,$$

$$i = 1, 2, \dots, M; \quad f_c = k/T_s, \quad k \text{ integer}; \quad E_s = V^2 T_s / 2 \text{ joules}$$

$$s_i(t) = V \cos \left[\frac{(i-1)2\pi}{M} \right] \cos(2\pi f_c t) + V \sin \left[\frac{(i-1)2\pi}{M} \right] \sin(2\pi f_c t).$$

$$\phi_1(t) = \frac{V \cos(2\pi f_c t)}{\sqrt{E_s}}, \quad \phi_2(t) = \frac{V \sin(2\pi f_c t)}{\sqrt{E_s}}.$$

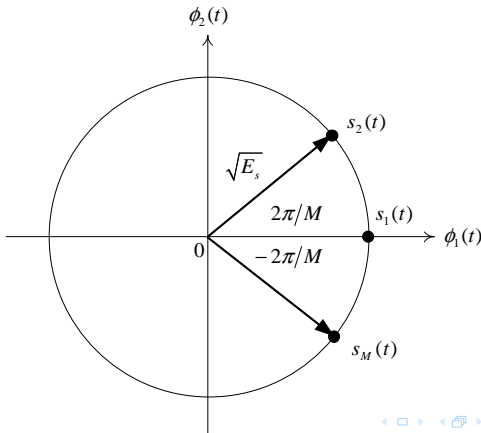
$$s_{i1} = \sqrt{E_s} \cos \left[\frac{(i-1)2\pi}{M} \right], \quad s_{i2} = \sqrt{E_s} \sin \left[\frac{(i-1)2\pi}{M} \right].$$

The signals lie on a circle of radius $\sqrt{E_s}$, and are spaced every $2\pi/M$ radians around the circle.

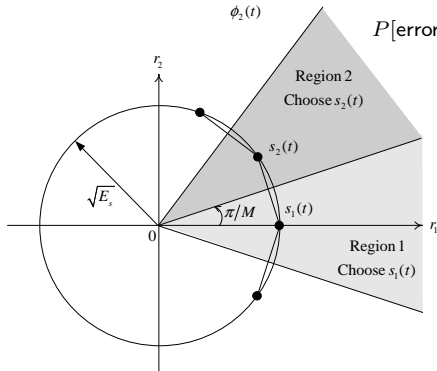
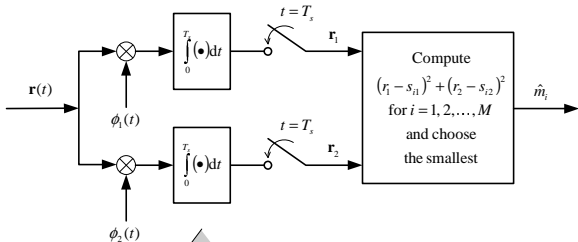
Signal Space Plot of General M -PSK

$$s_i(t) = V \cos \left[2\pi f_c t - \frac{(i-1)2\pi}{M} \right], \quad 0 \leq t \leq T_s,$$

$$i = 1, 2, \dots, M; \quad f_c = k/T_s, \quad k \text{ integer; } E_s = V^2 T_s / 2 \text{ joules}$$

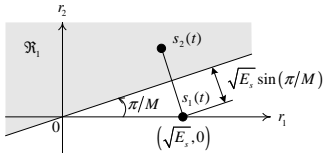
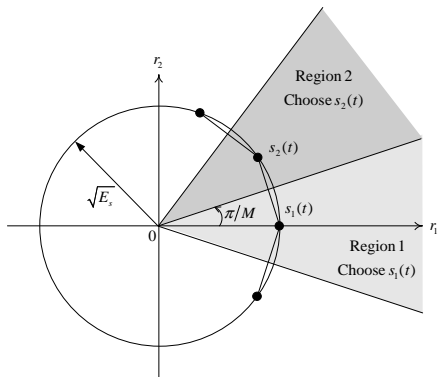


Optimum Receiver for M -PSK



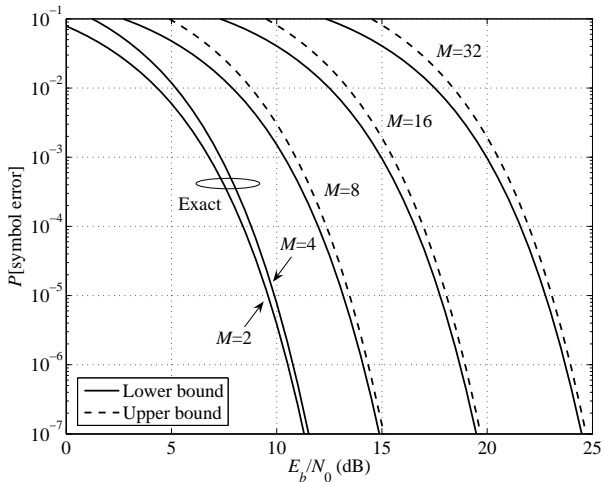
$$P[\text{error}] = P[\text{error}|s_1(t)]$$

$$= 1 - \iint_{r_1, r_2 \in \text{Region 1}} f(r_1, r_2 | s_1(t)) dr_1 dr_2.$$

Lower Bound of $P[\text{error}]$ of M -PSK

$$P[\text{error}|s_1(t)] > P[r_1, r_2 \text{ fall in } \mathfrak{R}_1 | s_1(t)], \text{ or}$$

$$P[\text{error}|s_1(t)] > Q \left\{ \sin \left(\frac{\pi}{M} \right) \sqrt{2E_s/N_0} \right\}.$$

Symbol Error Probability of M -PSK

With a Gray mapping, the *bit* error probability is approximated as:

$$P[\text{bit error}]_{M\text{-PSK}} \simeq \frac{1}{\log_2 M} Q \left(\sqrt{\lambda \sin^2 \left(\frac{\pi}{M} \right) \frac{2E_b}{N_0}} \right).$$

Comparison of BPSK and M -PSK

$$P[\text{error}]_{M\text{-PSK}} \simeq Q \left(\sqrt{\lambda \sin^2 \left(\frac{\pi}{M} \right) \frac{2E_b}{N_0}} \right), \quad \text{where } E_s = \lambda E_b.$$

$$P[\text{error}]_{\text{BPSK}} = Q(\sqrt{2E_b/N_0}).$$

λ	M	M -ary BW/Binary BW	$\lambda \sin^2(\pi/M)$	M -ary Energy/Binary Energy
3	8	1/3	0.44	3.6 dB
4	16	1/4	0.15	8.2 dB
5	32	1/5	0.05	13.0 dB
6	64	1/6	0.0144	17.0 dB

M-ary Quadrature Amplitude Modulation (*M*-QAM)

- M*-QAM constellations are two-dimensional and they involve inphase (I) and quadrature (Q) carriers:

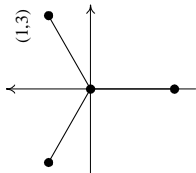
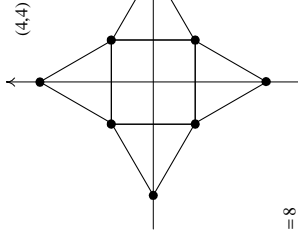
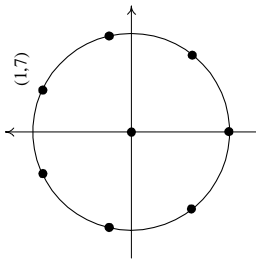
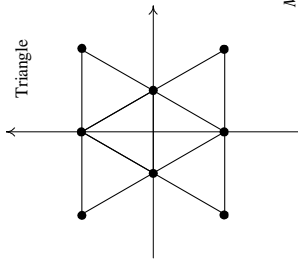
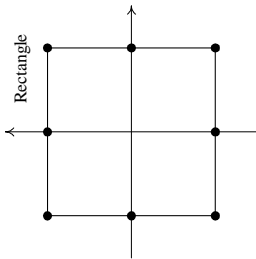
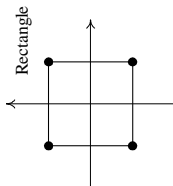
$$\phi_I(t) = \sqrt{\frac{2}{T_s}} \cos(2\pi f_c t), \quad 0 \leq t \leq T_s,$$

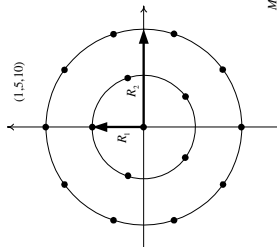
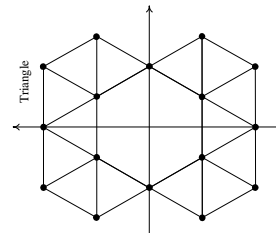
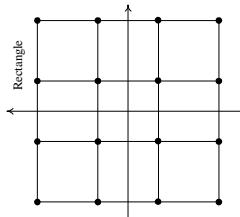
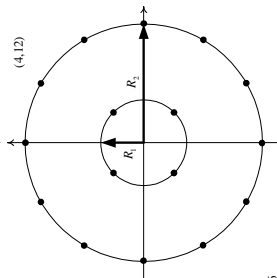
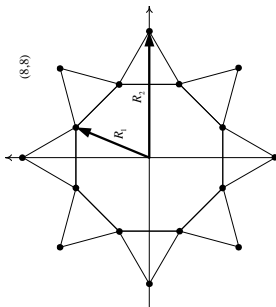
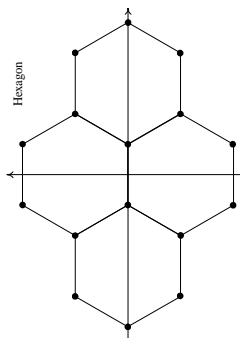
$$\phi_Q(t) = \sqrt{\frac{2}{T_s}} \sin(2\pi f_c t), \quad 0 \leq t \leq T_s,$$

- The *i*th transmitted *M*-QAM signal is:

$$\begin{aligned} s_i(t) &= V_{I,i} \sqrt{\frac{2}{T_s}} \cos(2\pi f_c t) + V_{Q,i} \sqrt{\frac{2}{T_s}} \sin(2\pi f_c t), & 0 \leq t \leq T_s \\ &= \sqrt{E_i} \sqrt{\frac{2}{T_s}} \cos(2\pi f_c t - \theta_i), & i = 1, 2, \dots, M \end{aligned}$$

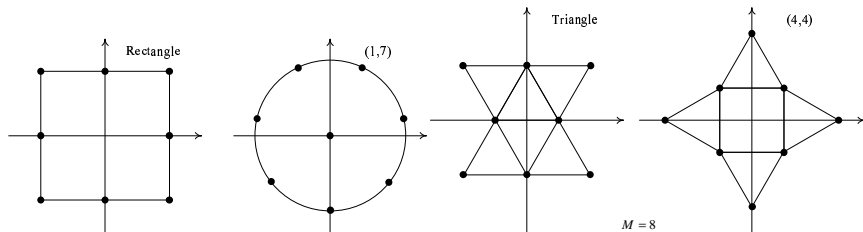
$V_{I,i}$ and $V_{Q,i}$ are the information-bearing discrete amplitudes of the two quadrature carriers, $E_i = V_{I,i}^2 + V_{Q,i}^2$ and $\theta_i = \tan^{-1}(V_{Q,i}/V_{I,i})$.

 $M = 4$  $M = 8$ 

 $M = 16$

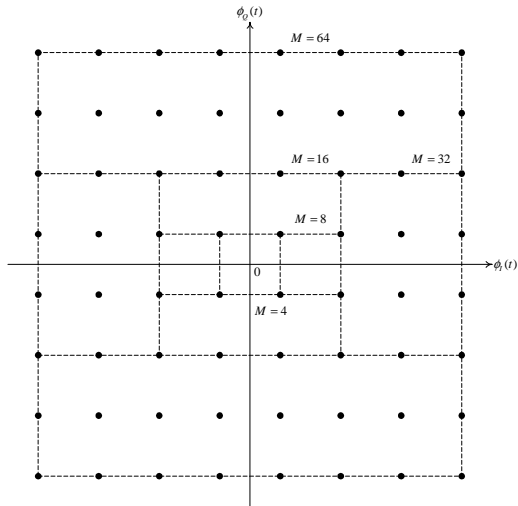
A Simple Comparison of M -QAM Constellations

With the same *minimum* distance of all the constellations, a more efficient signal constellation is the one that has smaller average transmitted energy.



E_s for the rectangular, triangular, (1,7) and (4,4) constellations are found to be $1.50\Delta^2$, $1.125\Delta^2$, $1.162\Delta^2$ and $1.183\Delta^2$, respectively.

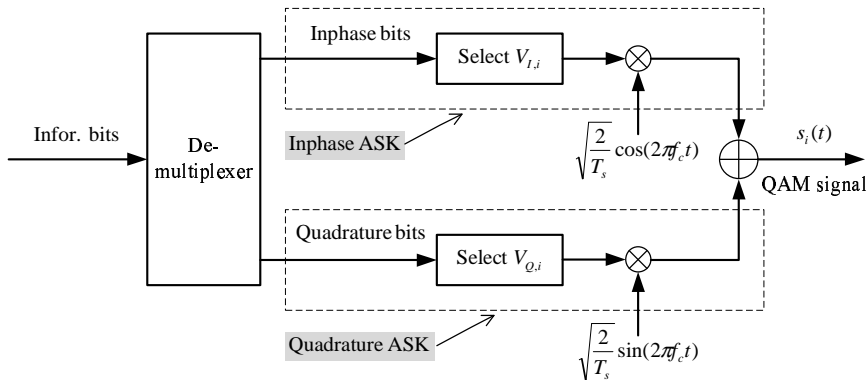
Rectangular M -QAM



The signal *components* take value from the set of discrete values $\{(2i - 1 - M)\Delta/2\}$, $i = 1, 2, \dots, \frac{M}{2}$.

Modulation of Rectangular M -QAM

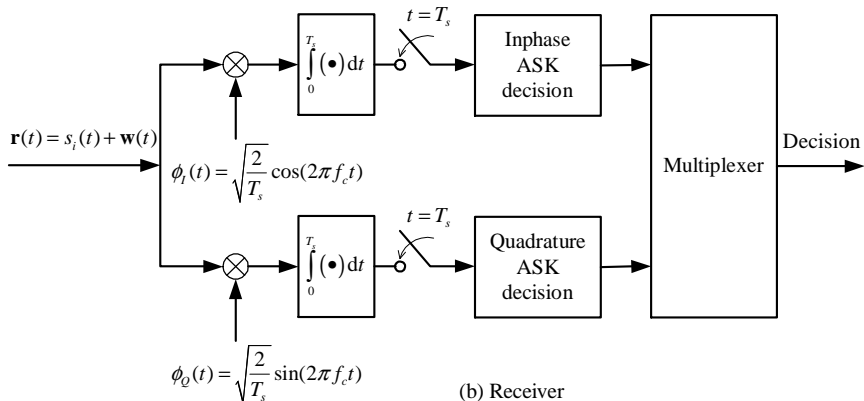
- Each group of $\lambda = \log_2 M$ bits can be divided into λ_I inphase bits and λ_Q quadrature bits, where $\lambda_I + \lambda_Q = \lambda$.
- Inphase bits and quadrature bits modulate the inphase and quadrature carriers *independently*.



(a) Transmitter

Demodulation of Rectangular M -QAM

Due to the orthogonality of the inphase and quadrature signals, inphase and quadrature bits can be *independently* detected at the receiver.



The most practical rectangular QAM constellation is one which $\lambda_I = \lambda_Q = \lambda/2$, i.e., M is a perfect square and the rectangle is a square.

Symbol Error Probability of M -QAM

- For square constellations:

$$P[\text{error}] = 1 - P[\text{correct}] = 1 - \left(1 - P_{\sqrt{M}}[\text{error}]\right)^2,$$

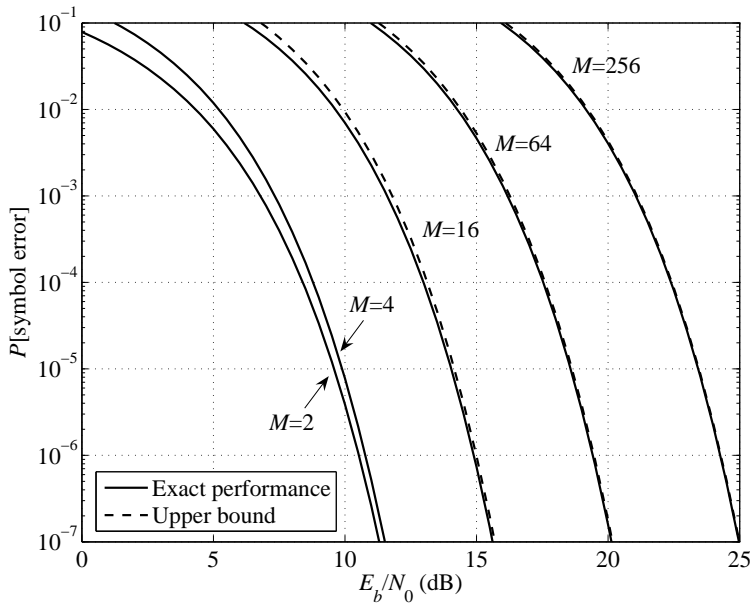
$$P_{\sqrt{M}}[\text{error}] = 2 \left(1 - \frac{1}{\sqrt{M}}\right) Q \left(\sqrt{\frac{3E_s}{(M-1)N_0}} \right),$$

where E_s/N_0 is the average SNR per symbol.

- For general rectangular constellations:

$$\begin{aligned} P[\text{error}] &\leq 1 - \left[1 - 2Q \left(\sqrt{\frac{3E_s}{(M-1)N_0}} \right) \right]^2 \\ &\leq 4Q \left(\sqrt{\frac{3\lambda E_b}{(M-1)N_0}} \right) \end{aligned}$$

where E_b/N_0 is the average SNR per bit.

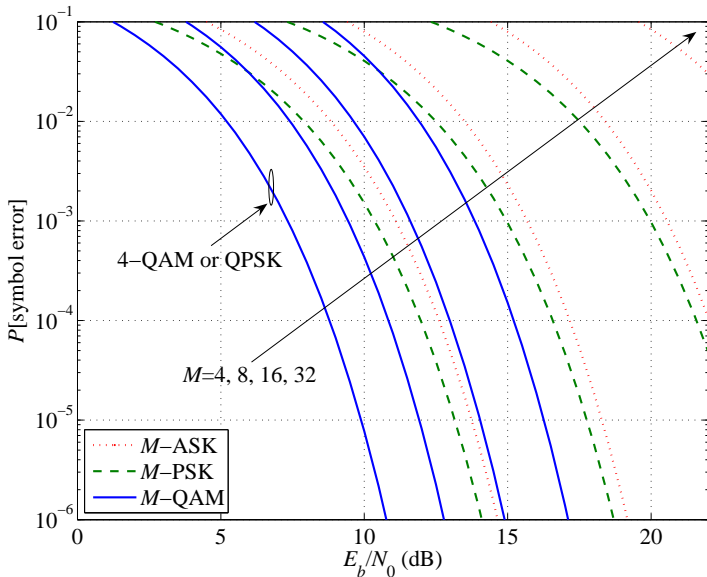


Performance Comparison of M -PSK and M -QAM

- For M -PSK, approximate $P[\text{error}] \approx Q\left(\sqrt{\frac{2E_s}{N_0}} \sin \frac{\pi}{M}\right)$.
- For M -QAM, use the upper bound $4Q\left(\sqrt{\frac{3\lambda E_b}{(M-1)N_0}}\right)$.
- Comparing the arguments of $Q(\cdot)$ for the two modulations gives:

$$\kappa_M = \frac{3/(M-1)}{2 \sin^2(\pi/M)}.$$

M	$10 \log_{10} \kappa_M$
8	1.65 dB
16	4.20 dB
32	7.02 dB
64	9.95 dB
256	15.92 dB
1024	21.93 dB

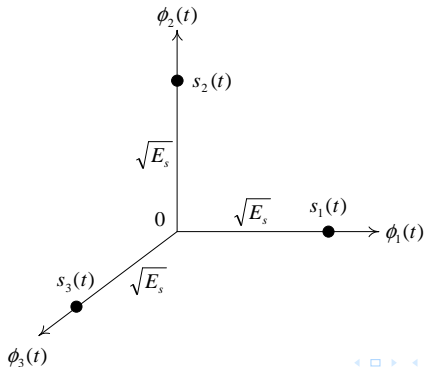
Performance Comparison of M -ASK, M -PSK, M -QAM

M -ary Coherent Frequency-Shift Keying (M -FSK)

$$s_i(t) = \begin{cases} V \cos(2\pi f_i t), & 0 \leq t \leq T_s \\ 0, & \text{elsewhere} \end{cases}, \quad i = 1, 2, \dots, M,$$

where f_i are chosen to have orthogonal signals over $[0, T_s]$.

$$f_i = \begin{cases} (k \pm i) \left(\frac{1}{2T_s} \right), & \text{(coherently orthogonal)} \\ (k \pm i) \left(\frac{1}{T_s} \right), & \text{(noncoherently orthogonal)} \end{cases}, \quad i = 0, 1, 2, \dots$$

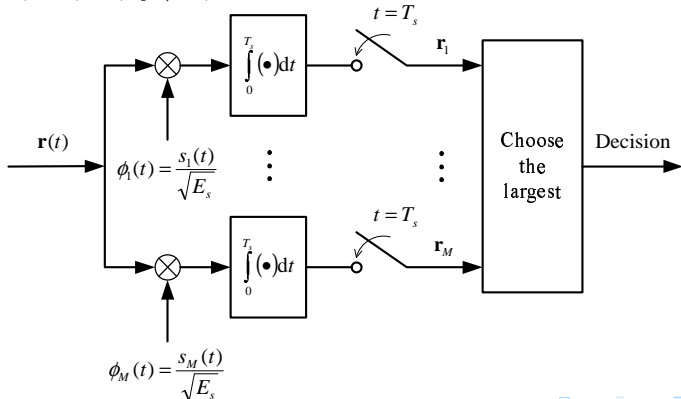


Minimum-Distance Receiver of M -FSKChoose m_i if

$$\sum_{k=1}^M (r_k - s_{ik})^2 < \sum_{k=1}^M (r_k - s_{jk})^2 \Rightarrow$$

$$j = 1, 2, \dots, M; j \neq i,$$

Choose m_i if
 $r_i > r_j, \quad j = 1, 2, \dots, M; j \neq i.$



Symbol Error Probability of M -FSK

$$P[\text{error}] = P[\text{error}|s_1(t)] = 1 - P[\text{correct}|s_1(t)].$$

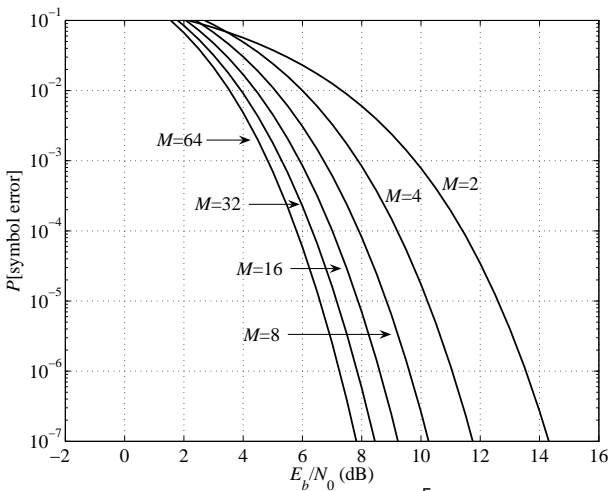
$$P[\text{correct}|s_1(t)] = P[(\mathbf{r}_2 < \mathbf{r}_1) \text{ and } \cdots \text{ and } (\mathbf{r}_M < \mathbf{r}_1)|s_1(t) \text{ sent}].$$

$$= \int_{r_1=-\infty}^{\infty} P[(\mathbf{r}_2 < r_1) \text{ and } \cdots \text{ and } (\mathbf{r}_M < r_1)|\{\mathbf{r}_1 = r_1, s_1(t)\}] f(r_1|s_1(t)) dr.$$

$$P[(\mathbf{r}_2 < r_1) \text{ and } \cdots \text{ and } (\mathbf{r}_M < r_1)|\{\mathbf{r}_1 = r_1, s_1(t)\}] = \prod_{j=2}^M P[(\mathbf{r}_j < r_1)|\{\mathbf{r}_1 = r_1, s_1(t)\}]$$

$$P[\mathbf{r}_j < r_1|\{\mathbf{r}_1 = r_1, s_1(t)\}] = \int_{-\infty}^{r_1} \frac{1}{\sqrt{\pi N_0}} \exp\left\{-\frac{\lambda^2}{N_0}\right\} d\lambda.$$

$$P[\text{correct}] = \int_{r_1=-\infty}^{\infty} \left[\int_{\lambda=-\infty}^{r_1} \frac{1}{\sqrt{\pi N_0}} \exp\left\{-\frac{\lambda^2}{N_0}\right\} d\lambda \right]^{M-1} \times \frac{1}{\sqrt{\pi N_0}} \exp\left\{-\frac{(r_1 - \sqrt{E_s})^2}{N_0}\right\} dr_1.$$



$$P[\text{error}] = 1 - \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \left[\frac{1}{\sqrt{2\pi}} \int_{-\infty}^y e^{-x^2/2} dx \right]^{M-1} \exp \left[-\frac{1}{2} \left(y - \sqrt{\frac{2 \log_2 M E_b}{N_0}} \right)^2 \right] dy.$$

Bit Error Probability of M -FSK

- Due to the symmetry of M -FSK constellation, all mappings from sequences of λ bits to signal points yield the same bit error probability.
- For equally likely signals, all the conditional error events are equiprobable and occur with probability

$$\Pr[\text{symbol error}] / (M - 1) = \Pr[\text{symbol error}] / (2^\lambda - 1).$$

- There are $\binom{\lambda}{k}$ ways in which k bits out of λ may be in error
 \Rightarrow The average number of bit errors per λ -bit symbol is

$$\sum_{k=1}^{\lambda} k \binom{\lambda}{k} \frac{\Pr[\text{symbol error}]}{2^\lambda - 1} = \lambda \frac{2^{\lambda-1}}{2^\lambda - 1} \Pr[\text{symbol error}].$$

- The probability of bit error is simply the above quantity divided by λ :

$$\Pr[\text{bit error}] = \frac{2^{\lambda-1}}{2^\lambda - 1} \Pr[\text{symbol error}].$$

Union Bound on the Symbol Error Probability of M -FSK

$$P[\text{error}] = P[(\mathbf{r}_1 < \mathbf{r}_2) \text{ or } (\mathbf{r}_1 < \mathbf{r}_3) \text{ or } \dots \text{ or } (\mathbf{r}_1 < \mathbf{r}_M) | s_1(t)].$$

- Since the events are not mutually exclusive, the error probability is bounded by:

$$P[\text{error}] < P[(\mathbf{r}_1 < \mathbf{r}_2) | s_1(t)] + \\ P[(\mathbf{r}_1 < \mathbf{r}_3) | s_1(t)] + \dots + P[(\mathbf{r}_1 < \mathbf{r}_M) | s_1(t)].$$

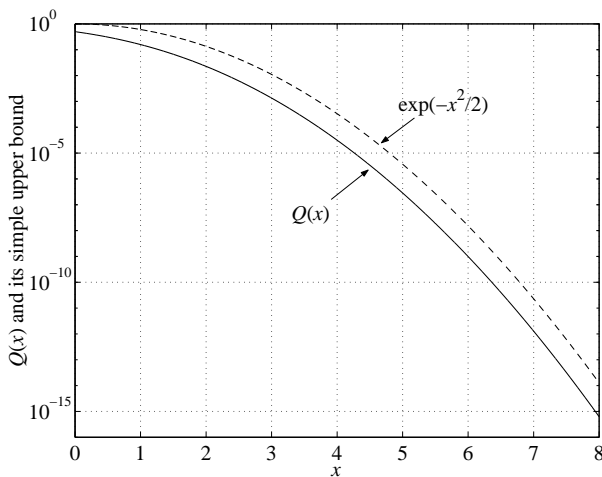
- But $P[(\mathbf{r}_1 < \mathbf{r}_j) | s_1(t)] = Q(\sqrt{E_s/N_0})$, $j = 3, 4, \dots, M$.
Then

$$P[\text{error}] < (M-1)Q(\sqrt{E_s/N_0}) < MQ(\sqrt{E_s/N_0}) < Me^{-E_s/(2N_0)}.$$

where the bound $Q(x) < \exp\left\{-\frac{x^2}{2}\right\}$ has been used.

An Upper Bound on $Q(x)$

$$Q(x) = \int_x^{\infty} \frac{1}{\sqrt{2\pi}} \exp\left\{-\frac{\lambda^2}{2}\right\} d\lambda < \exp\left\{-\frac{x^2}{2}\right\}$$



Interpretations of $P[\text{error}] < M e^{-E_s/(2N_0)}$

- 1 Let $M = 2^\lambda = e^{\lambda \ln 2}$ and $E_s = \lambda E_b$. Then

$$P[\text{error}] < e^{\lambda \ln 2} e^{-\lambda E_b/(2N_0)} = e^{-\lambda(E_b/N_0 - 2 \ln 2)/2}.$$

As $\lambda \rightarrow \infty$, or equivalently, as $M \rightarrow \infty$, the probability of error *approaches zero* exponentially, provided that

$$\frac{E_b}{N_0} > 2 \ln 2 = 1.39 = 1.42 \text{ dB}.$$

- 2 Since $E_s = \lambda E_b = V^2 T_s / 2$, then

$$P[\text{error}] < e^{\lambda \ln 2} e^{-V^2 T_s / (4N_0)} = e^{-T_s[-r_b \ln 2 + V^2 / (4N_0)]}$$

If $-r_b \ln 2 + V^2 / (4N_0) > 0$, or $r_b < \frac{V^2}{4N_0 \ln 2}$ the probability of error tends to zero as T_s or M becomes larger and larger.

Comparison of *M*-ary Signaling Techniques

- A compact and meaningful comparison is based on the bit rate-to-bandwidth ratio, r_b/W (*bandwidth efficiency*) versus the SNR per bit, E_b/N_0 (*power efficiency*) required to achieve a given $P[\text{error}]$.
- *M*-ASK with *single-sideband* (SSB) transmission, $W = 1/(2T_s)$ and

$$\left(\frac{r_b}{W}\right)_{\text{SSB-ASK}} = 2 \log_2 M \quad (\text{bits/s/Hz}).$$

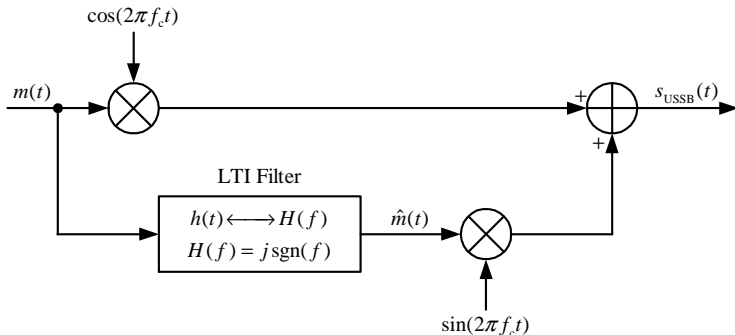
- *M*-PSK ($M > 2$) must have *double sidebands*, $W = 1/T_s$ and

$$\left(\frac{r_b}{W}\right)_{\text{PSK}} = \log_2 M, \quad (\text{bits/s/Hz}),$$

- (Rectangular) QAM has twice the rate of ASK, but must have double sidebands \Rightarrow QAM and SSB-ASK have the same bandwidth efficiency.
- For *M*-FSK with the minimum frequency separation of $1/(2T_s)$, $W = \frac{M}{2T_s} = \frac{M}{2(\lambda/r_b)} = \frac{M}{2 \log_2 M} r_b$, and

$$\left(\frac{r_b}{W}\right)_{\text{FSK}} = \frac{2 \log_2 M}{M}.$$

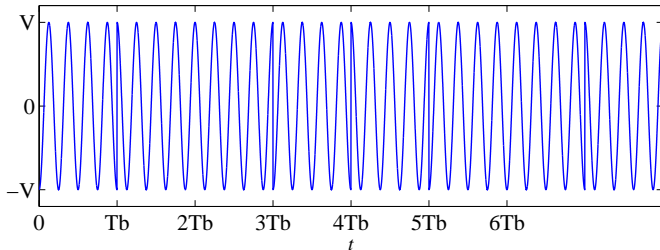
USSB Transmission of BPSK Signal



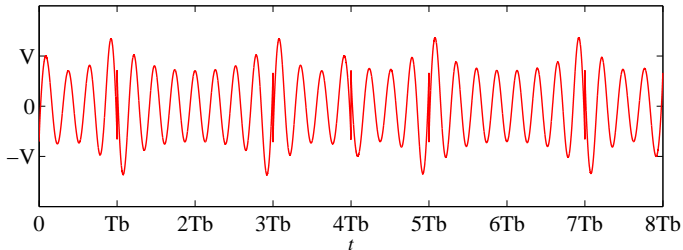
$$\hat{m}(t) = m(t) * h(t) = m(t) * \left(-\frac{1}{\pi t} \right) = -\frac{1}{\pi} \int_{-\infty}^{\infty} \frac{m(t-\lambda)}{\lambda} d\lambda$$

Example of USSB-BPSK Transmitted Signal

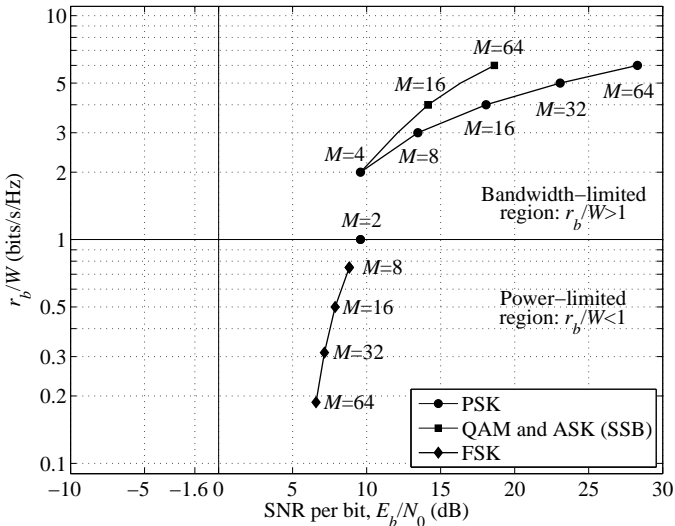
(a) BPSK signal



(b) USSB-BPSK signal



Power-Bandwidth Plane (At $P[\text{error}] = 10^{-5}$)



Two Statements

Consider information transmission over an additive white Gaussian noise (AWGN) channel. The average transmitted signal power is P_{av} , the noise power spectral density is $N_0/2$ and the bandwidth is W . Two statements are:

- ① For each transmission rate r_b , there is a corresponding limit on the *probability of bit error* one can achieve.
- ② For some appropriate signalling rate r_b , there is no limit on the *probability of bit error* one can achieve, i.e., one can achieve error-free transmission.

Which statement sounds reasonable to you?

Shannon's Channel Capacity

$$C = W \log_2 \left(1 + \frac{P_{av}}{WN_0} \right),$$

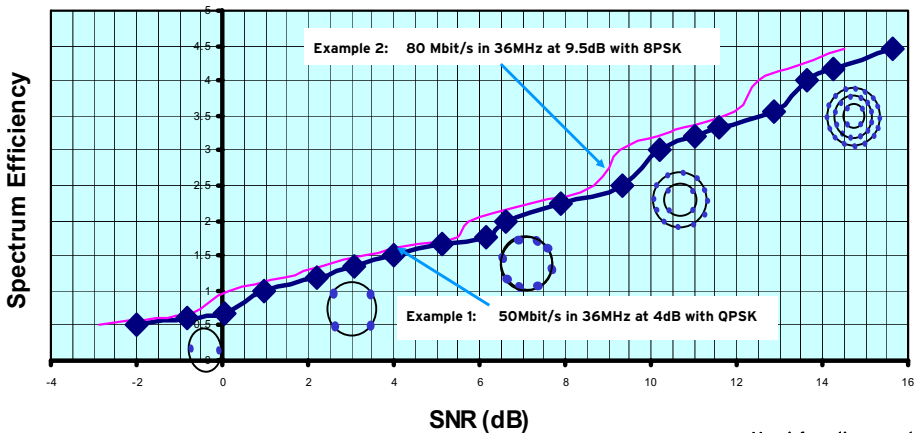
where W is bandwidth in Hz, P_{av} is the average power and $N_0/2$ is the two-sided power spectral density of the noise.

- Shannon proved that it is theoretically possible to transmit information at any rate r_b , where $r_b \leq C$, with an *arbitrarily small* error probability by using a sufficiently complicated modulation scheme. For $r_b > C$, it is not possible to achieve an arbitrarily small error probability.
- Shannon's work showed that the values of P_{av} , N_0 and W set *a limit on transmission rate, not on error probability!*

The normalized channel capacity C/W (bits/s/Hz) is:

$$\frac{C}{W} = \log_2 \left(1 + \frac{P_{av}}{WN_0} \right) = \log_2 \left(1 + \frac{C}{W} \frac{E_b}{N_0} \right).$$

Spectrum Efficiency of DVB-S2 Standard

More information: www.dvb.org